



#### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

e the Application of:

JOSHUA COATES

Application No.: 09/753,336

Filed: December 29, 2000

For: A Failover Architecture for Local Devices that

**Access Remote Stroage** 

Art Group: 2114

Examiner: Le, Dieu Minh T.

### INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. §1.97

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

In accordance with the duty of disclosure, enclosed is a copy of IDS Citation Form PTO/SB/08 or PTO-1449, together with copies of the documents cited on that form, except for copies not required to be submitted (e.g., copies of U.S. patents and U.S. published patent applications need not be enclosed). This IDS and IDS Citation Form are being submitted before the mailing of a final Office Action. It is respectfully requested that the cited references be considered and that the enclosed copy of PTO/SB/08 be initialed by the Examiner to indicate such consideration and a copy thereof returned to applicant(s).

02/07/2006 CCHAU1 00

00000016 09753336

02 FC:1806

180.00 OP

The submission of this Information Disclosure Statement is not to be construed as a representation that a search has been made in the subject application and is not to be construed as an admission that the information cited in this statement is material to patentability.

Respectfully submitted,

BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP

Paul A. Mendonsa, Reg. No. 42,879

12400 Wilshire Boulevard, 7th Floor Los Angeles, CA 90025

Telephone: (503) 439-8778

Date: February 02, 2006

I hereby certify that this correspondence is being deposited with the United States Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to: Mail Stop Petition, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

02-02-06

Date

FEB 06 2005 Substitute for form 1449A/PTO FORMATION DISCLOSURE STATEMENT BY APPLICANT (use as many sheets as necessary) of **Sheet** 

Complete if Known					
Complete if Known					
Application Number	09/753,336				
Filing Date	December 29, 2000				
First Named Inventor	Joshua Coates				
Art Unit	2114				
Examiner Name	Le, Dieu Minh T.				
Attorney Docket Number	er 42390P19172X2				

	U.S. PATENT DOCUMENTS					
Examiner Initials*	Cite No.'	Document Number  Number - Kind Code² (if known)	Publication Date or Issue Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	
	1	US-6,912,548 B1	06-28-2005	Black		
	2	US-6,393,466 B1	05-21-2002	Hickman et al		
	3	US-6,256,673 B1	07-03-2001	Gayman		
	4	US-6,236,999 B1	05-22-2001	Jacobs et al		
	5	US-5,937,406	08-10-1999	Balabine et al		
	6	US-5,692,155	11-25-1997	Iskiyan et al		
	7	US-2002/0133491 A1	09-19-2002	Sim et al		
	8	US-2002/0083120 A1	06-27-2002	Soltis		
	9	US-2002/0133539 A1	09-19-2002	Monday		
	10	US-6,782,389 B1	08-24-2004	Chrin et al		
	11	US-6,654,772 B1	11-25-2003	Crow et al		
	12	US-6,895,418 B1	05-17-2005	Crow et al		
	13	US-6,931,450 B2	08-16-2005	Howard et al		
	,14	US-6,360,306 B1	03-19-2002	Bergsten		
	15	US-6,081,883	06-27-2000	Popelka et al		
	16	US-6,356,929 B1	03-12-2002	Gall et al	_	
	17	US-6,948,062 B1	09-20-2005	Clapper		
	18	US-6,304,980 B1	10-16-2001	Beardsley et al		
	19	US-6,324,581 B1	11-27-2001	Xu et al		
	20	US-6,718,347 B1	04-06-2004	Wilson		

4

	FOREIGN PATENT DOCUMENTS					
Examiner	Cite	Foreign Patent Document	1		Pages, Columns, Lines,	
	No.'	Country Code - Number - Kind Code (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Where Relevant Passages or Relevant Figures Appear	
	21	EP 0 646 858 A1	04-05-1995	DuLac		
	22_	WO 99/45491	09-10-1999	Eberhard et al		
I	23	WO 01/67707 A2	09-13-2001	Coates et al		
	1					
	<u> </u>					
	i 					
	:			1	1	

Examiner	Date
Signature	Considered

\*Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication.

'Applicant's unique citation designation number (optional)'See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04'Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3)'For Japanese patent documents, the indication of the year of reign of the Emperor must precede the serial number of the patent document. 'Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible applicant is to place a check mark here if English language Translation is attached.

Based on PTO/SB/08A (08-03) as modified by Blakely, Solokoff, Taylor & Zafman (wlr) 08/11/2003.

FEB 06 2006

bubstitute for form 1449A/PTO

## INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

Sheet 2 | of | 4

Complete if Known					
Application Number	09/7.53,336				
Filing Date	December 29, 2000				
First Named Inventor	Joshua Coates				
Art Unit	2114				
Examiner Name	Le, Dieu Minh T.				
Attorney Docket Number	42390P19172X2				

	U.S. PATENT DOCUMENTS					
Examiner Initials*	Cite   No.1	Document Number  Number - Kind Code² (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	
	24	US-5,708,832	01-13-1998	Inniss et al		
	25	US-6,405,201 B1	06-11-2002	Nazari		
	26	US-6,128,627	10-03-2000	Mattis et al		
	27	US-2002/0014757 A1	01-24-2002	Granik et al		
	28	US-2002/0054114 A1	05-09-2002	Shuping et al		
	29	US-6,108,155	08-22-2000	Tanaka et al		
	30	US-5,497,422	03-05-1996	Tysen et al		
	31	US-5,506,984	04-09-1996	Miller		
	32	US-5,692,155	11-25-1997	Iskiyan et al		
	33	US-5,757,920	05-26-1998	Misra et al		
· · · · · · ·	34	US-5,805,699	09-08-1998	Akiyama et al		
	35	US-5,870,537	02-09-1999	Kern et al		
	36	US-5,987,577	11-02-1999	Rierden et al		
	37	US-6,148,349	11-14-2000	Chow et al		
	38	US-6,170,013 B1	01-02-2001	Murata		
	39	US-6,173,374 B1	01-09-2001	Heil et al		
	40	US-6,239,999 B1	05-22-2001	Jacobs et al		
-	41	US-6,256,673	07-03-2001	Gayman		
	:42	US-6,263,402 B1	07-17-2001	Ronstroem et al		
	43	US-6,314,465 B1	11-06-2001	Paul et al		

	FOREIGN PATENT DOCUMENTS					
Examiner	<sup>1</sup> Cite	Foreign Patent Document			Pages, Columns, Lines, T6	
Initials*	No.1	Country Code - Number - Kind Code (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Where Relevant Passages or Relevant Figures Appear	
	<u> </u>					
	<del> </del>					
				,		
	<del> </del>	·				
-	ļ			-		
	†					
	-					

Examiner	Date	
Signature	Considered	

\*Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication.

'Applicant's unique citation designation number (optional):See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04:Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3):For Japanese patent documents, the indication of the year of reign of the Emperor must precede the serial number of the patent document. 'Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible policient is to place a check mark here if English language Translation is attached.

Based on PTO/SB/08A (08-03) as modified by Blakely, Solokoff, Taylor & Zafman (wlr) 08/11/2003.

FEB 0 6 2006 SU

Substitute for form 1449A/PTO

# INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

**Sheet** 3 **of** 4

Complete if Known				
Application Number	09/753,336			
Filing Date	December 29, 2000			
First Named Inventor	Joshua Coates			
Art Unit	2114			
Examiner Name	Le, Dieu Minh T.			
Attorney Docket Number	42390P19172X2			

	U.S. PATENT DOCUMENTS					
Examiner Initials*	Cite No.1	Document Number  Number - Kind Code² (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	
	44	US-6,327,614 B1	12-04-2001	Asano et al		
	45	US-6,351,775 B1	02-26-2002	Yu		
	46	US-6,438,125 B1	08-20-2002	Brothers		
	47	US-6,553,376 B1	04-22-2003	Lewis et al		
	48	US-6,553,389 B1	04-22-2003	Golding et al		
	49	US-6,611,869 B1	08-26-2003	Eschelbeck et al		
	50	US-6,622,247 B1	09-16-2003	Isaak		
	51	US-6,704,838 B2	03-09-2004	Anderson		
	52	US-6,389,462 B1	05-14-2002	Cohen et al		
	53	US-5,764,972	06-09-1998	Crouse et al		
	54	US-5,933,834	08-03-1999	Aichelen		
·	55	US-5,937,406	08-10-1999	Balabine et al		
	56	US-5,550,986	08-27-1996	DuLac		
1	57	US-5,923,846	07-13-1999	Gage et al		
l	58	US-5,796,952	08-18-1998	Davis et al		
		US-				
		US-				
		US-				
		US-				
		US-				

FOREIGN PATENT DOCUMENTS						
Examiner	Cite	Cito Foreign Patent Document			Pages, Columns, Lines,	
Initials*	No.1	Country Code - Number - Kind Code (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Where Relevant Passages or Relevant Figures Appear	
	,					
		1				
	.,					
	· 					
	+	1				
ı		1				

Examiner	Date
Signature	Considered

\*Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication.

'Applicant's unique citation designation number (optional):See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04:Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3):For Japanese patent documents, the indication of the year of reign of the Emperor must precede the serial number of the patent document. 'Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible applicant is to place a check mark here if English language Translation is attached.

Based on PTO/SB/08A (08-03) as modified by Blakely, Solokoff, Taylor & Zafman (wlr) 08/11/2003.

FEB OF 2006 W

Substitute for form 1449A/PTO

# INFORMATION DISCLOSURE STATEMENT BY APPLICANT

Sheet 4 of 4

	Complete if Known		
	Application Number	09/753,336	
	Filing Date	December 29, 2000	
	First Named Inventor	Joshua Coates	
	Art Unit	2114	
_	Examiner Name	Le, Dieu Minh T.	
	Attorney Docket Number	42390P19172X2	

	NON PATENT LITERATURE DOCUMENTS					
Examiner Initials*	Cite No.1	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T²			
	59	Microsoft Press; Computer Dictionary 3rd Edition 3 pages				
	60	MOGUL: Internet Subnets; October 1984, RFC 917; 22 pages				
	1					
	•					
	1					

Examiner	Date	
Signature	Considere	

'Applicant's unique citation designation number? Applicant is to place a check mark here if English language Translation is attached.

Based on PTO/SB/08B (08-03) as modified by Blakely, Solokoff, Taylor & Zafman (wir) 08/11/2003. Send To: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450

<sup>\*</sup>Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication.